#### UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: HABERLAND ET AL.	)	Ţ
Serial No. <b>09/580,721</b>	)	Art Unit: <b>3735</b>
Filing Date: MAY 30, 2000	)	*
For: TROCAR SYSTEM HAVING SHIELDED TROCAR	)	Attorney Docket No.: 24039

**RECEIVED** 

#### PETITION UNDER 37 C.F.R.§ 1.47 FOR JOINT INVENTOR REFUSING TO JOIN IN THE APPLICATION

AUG 2 8 2001 OFFICE OF PETITIONS

Assistant Commissioner for Patents 08/22/2001 SZEUDIE1 00000006 09580721 Washington, D.C. 20231

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130.00 02

Dear Sir:

As an initial matter, Applicants respectfully thank the Examiner for her thorough review of the Petition Under 37 C.F.R. §1.47 submitted to the United States Patent Office (PTO) on January 29, 2001. In response to the Decision on Petition dated March 13, 2001, Paper No. 9, please enter the Petition Under 37 C.F.R. §1.47 For Joint Inventor Refusing To Join In The Application set out below. A petition for a twomonth extension of time and the requisite fees are also enclosed herewith.

Applicants note that in the Decision on Petition dated March 13, 2001, the Examiner states that "Applicants failed to demonstrate that the nonsigning inventor, Mr. Hagen, was presented with a complete copy of the application papers for review." Applicants respectfully submit that Mr. Hagen has been presented with a complete copy of the above-referenced patent application and after several telephone conversations with Mr. Gary Haberland, one of the joint inventors, and Attorney Jeffrey S. Whittle, attorney of record for the aboveIn re Patent Application of:

HABERLAND ET AL.

Serial No. 09/580,721 Filed: May 30, 2000

referenced patent application, Mr. Hagen, one of the joint inventors, has executed the declaration, power of attorney, and assignment documents. The executed declaration, power of attorney and assignment document from R. Sean Hagen are further enclosed herewith.

Applicants hereby petition to name Mr. Sam R. Marchand as a joint inventor for the patent application although he refuses to join in the patent application. Attached hereto is a statement from Attorney Jeffrey S. Whittle, attorney of record for the above referenced patent application, Mrs. Kathy L. Tissue, executive assistant to Attorney Whittle, and Mr. Gary Haberland, one of the joint inventors of the above-referenced patent application, all establishing the pertinent facts regarding the refusal of Mr. Sam R. Marchand to join in the above-referenced patent application.

Further enclosed herewith is a Declaration signed by the available joint inventors with Mr. Sam R. Marchand's signature block being left empty. The Declaration is being submitted to establish that the available joint inventors make the declaration on their own behalf and on behalf of Mr. Marchand according to MPEP § 409.03(a).

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Serial No. 09/580,721 Filed: May 30, 2000

If any additional extension and/or fee is required, authorization is given to charge Deposit Account No. 01-0484.

Respectfully submitted,

MÁRK R. MALEK

Reg. No. 46,894

Allen, Dyer, Doppelt, Milbrath

& Gilchrist, P.A.

255 S. Orange Avenue, Suite 1401

Post Office Box 3791

Orlando, Florida 32802

407/841-2330

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, on this  $12^{12}$  day of August, 2001.

kathy L. t**/s/**sue

IN THE JUNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: HABERLAND ET AL.

Serial No. **09/580,721** 

Filing Date: MAY 30, 2000

For: TROCAR SYSTEM HAVING

SHIELDED TROCAR

Art Unit: 3735

Attorney Docket No.:

24039

# STATEMENT OF FACTS SUPPORTING PETITION UNDER 37 C.F.R. § 1.47

Assistant Commissioner for Patents Washington, DC 20231

- I, KATHY L. TISSUE, declare as follows:
- 1. I am an executive assistant to Attorney Jeffrey S. Whittle, the attorney of record in the above-referenced patent application.
- 2. It is my understanding that the above-referenced patent application is a continuation-in-part of design patent application serial number 29/117,520 filed on January 26, 2000. Mr. Sam R. Marchand signed the declaration, power of attorney, and assignment documents for the parent design patent application on March 2, 2000 (see Exhibit A).
- 3. Per Attorney Whittle's instructions, I transmitted correspondence to Mr. Sam R. Marchand, one of the joint inventors of the above-referenced patent application, in August 22, 2000. The correspondence included a copy of the above-referenced patent application (claims, specifications, and drawings), along with a copy of all supporting documents

\\* \*\*\* In re Patent Application of: HABERLAND ET AL.
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Filed: May 30, 2000

(declaration, power of attorney, and assignment documents) (see Exhibit B).

- 4. Upon Attorney Whittle's instructions and after transmitting the above-referenced documents to Mr. Sam R. Marchand, I telephoned him to follow up on the status of the above-referenced documents. Mr. Marchand stated that he had received the documents and that he would execute and send them back to us.
- 5. The above-referenced documents were never returned to our office.
- 6. It is my understanding that Mr. Gary Haberland, one of the joint inventors of the above-referenced patent application, transmitted to Mr. Sam R. Marchand, a second package containing the above-referenced documents. It is also my understanding that the second package was delivered via UPS next day air service on January 12, 2001 and that this second package contained a draft of the subject patent application, as well as the supporting documents, as outlined above, for Mr. Marchand's review and execution (see Exhibit C).
- 7. After the delivery of the second package and upon Attorney Whittle's instructions, I conducted several follow-up telephone calls and left several telephone messages on Mr. Marchand's voice mail.

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- 8. Mr. Marchand returned one of my calls, stating that he was unable to call earlier because he was out of the country. During our telephone conversation, Mr. Marchand informed me that he would soon forward the executed documents to me.
- 9. Mr. Marchand never forwarded the abovereferenced documents to us.
- 10. Upon Attorney Whittle's further instructions, at least in June, July, and August, 2001, I conducted additional follow-up telephone calls and left additional voice messages for Mr. Marchand to again inquire as to the status of the above-referenced documents.
- 11. After conducting the follow-up telephone calls, we received a letter from Mr. Marchand dated August 7, 2001 (see Exhibit D). In his letter, it is my understanding that Mr. Marchand stated that "Genicon still has a balance due in regards to development work carried out by Coalesce. I would be glad to execute these documents, but I do feel that some type of agreement should be reached in regards to the development work carried out."
- 12. I immediately forwarded this letter to Attorney Whittle for his review.
- 13. Based on this letter from Mr. Marchand and our still not having received the above-referenced executed

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documents, it is my understanding that Mr. Marchand continues to refuse to join as a co-inventor in the above-referenced patent application.

14. Records indicate that Mr. Sam R. Marchand's last known home address is:

1170 Robmar Rd. Dunedin, FL 34698.

15. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United Stats Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

8-17-0,

Kathy L. Tissue

# **EXHIBIT A**

Case No. 99-P-22061

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

EXPRESS MAY ILING LAGER HUMBER EL 3695370494

DATE OF DEPART

Kath TIVED OR PRINTED NAME OF PULL Transmitted herewith for filing is the patent application of:

ISIGNATURE OF PERSON MAILING PLES H

Inventors: Haberland et al.

For:

OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION

### Enclosed are:

[X] Design Patent Application: 4 pages

[X] <u>16</u> Sheets of drawings.

Filing Fee in the amount of \$155.00 for small entity [X]

A verified statement to establish small entity status under 37 CFR §§1.19 [X] and 1:27.

The Declaration is NOT ENCLOSED.

[X] Name, Address and Citizenship of Inventor(s) is as follows:

Gary W. Haberland 573 Waterscape Way Orlando, FL 32828 Citizen of United States

EL369537049US

Sam R. Marchand 1170 Robmar Road Dunedin, FL 32798 Citizen of United States

# PLEASE ADDRESS ALL CORRESPONDENCE TO:

Jeffrey S. Whittle, Esq. Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A. 255 S. Orange Avenue, Suite 1401

P.O. Box 3791

Orlando, Florida 32802-3791 Phone: (407) 841-2330/

Date: <u>lanuary 26, 2000</u>

Reg. No. 34,9060

Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.

255 S. Orange Avenue, Suite 1401 P.O. Box 3791

Orlando, Florida 32802-3791 Phone: (407) 841-2330

# OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION

#### **SPECIFICATION**

## TO WHOM IT MAY CONCERN:

Be it known that Gary W. Haberland, an individual citizen of the United States of America, with an address of 573 Waterscape Way, Orlando, Florida 32828, and Sam Marchand, an individual citizen of the United States of America, with an address of 1170 Robmar Road, Dunedin, Florida 34698, have invented a new, original and ornamental design for an OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION, for which the following is a specification, reference being made to the accompanying drawings forming a part hereof, in which:

Figure 1 is a perspective view of a first embodiment of the OBTURATOR,

VALVE ASSEMBLY, AND CANNULA COMBINATION showing the design of our invention;

Figure 2 is a right side elevational view of FIG. 1;

Figure 3 is a left side elevational view of FIG. 1;

Figure 4 is a front elevational view of FIG. 1;

Figure 5 is a rear elevational view of FIG. 1;

Figure 6 is a top plan view of FIG. 1;

Figure 7 is a bottom plan view of FIG. 1;

Figure 8 is a perspective view of a VALVE ASSEMBLY AND CANNULA COMBINATION showing the design of our invention:

Figure 9 is a right side elevational view of FIG. 8;

Figure 10 is a left side elevational view of FIG. 8;

Figure 11 is a front elevational view of FIG. 8;

Figure 12 is a rear elevational view of FIG. 8;

Figure 13 is a top plan view of FIG. 8;

Figure 14 is a bottom plan view of FIG. 8;

Figure 15 is a perspective view of a CANNULA showing the design of our invention;

Figure 16 is a right side elevational view of FIG. 15;

Figure 17 is a left side elevational view of FIG. 15;

Figure 18 is a front elevational view of FIG. 15:

Figure 19 is a rear elevational view of FIG. 15;

Figure 20 is a top plan view of FIG. 15;

Figure 21 is a bottom plan view of FIG. 15:

Figure 22 is a perspective view of an OBTURATOR HAVING A HANDLE showing the design of our invention;

Figure 23 is a front elevational view of FIG. 22;

Figure 23A is an enlarged fragmentary elevational view of FIG. 22 having a distal end portion thereof in an extended position;

Figure 24 is a right side elevational view of FIG. 22;

Figure 25 is a rear elevational view of FIG. 22;

Figure 26 is a lest side elevational view of FIG. 22;

Figure 27 is a top plan view of FIG. 22;

Figure 28 is a bottom plan view of FIG. 22;

Figure 29 is a perspective view of an OBTURATOR showing the design of our invention;

Figure 30 is a front elevational view of FIG. 29;

Figure 31 is a right side elevational view of FIG. 29;

Figure 32 is a rear elevational view of FIG. 29;

Figure 33 is a left side elevational view of FIG. 29;

Figure 34 is a top plan view of FIG. 29;

Figure 35 is a bottom plan view of FIG. 29;

Figure 36 is a perspective view of a VALVE ASSEMBLY showing the design of our invention;

Figure 37 is a top plan view of FIG. 36;

Figure 38 is a bottom plan view of FIG. 36;

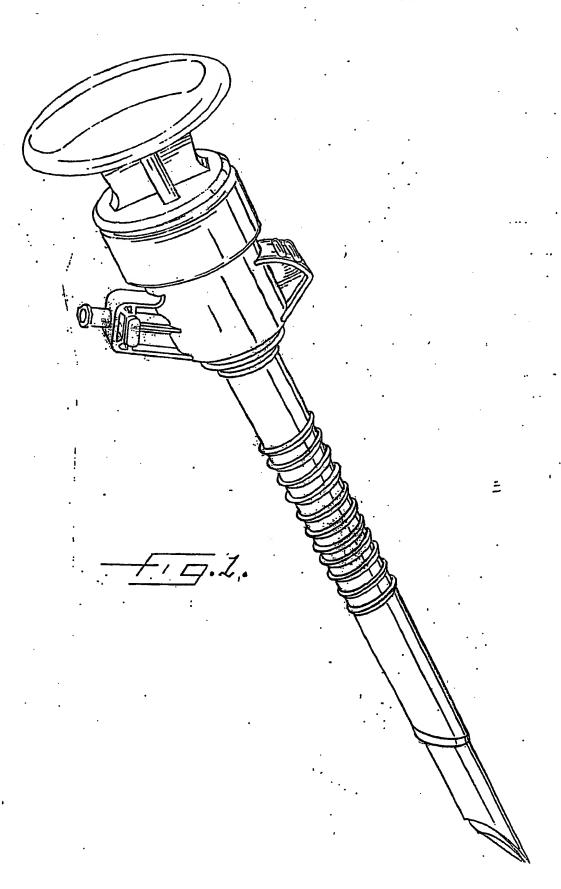
Figure 39 is a front elevational view of FIG. 36, the rear being a mirror image thereof; and

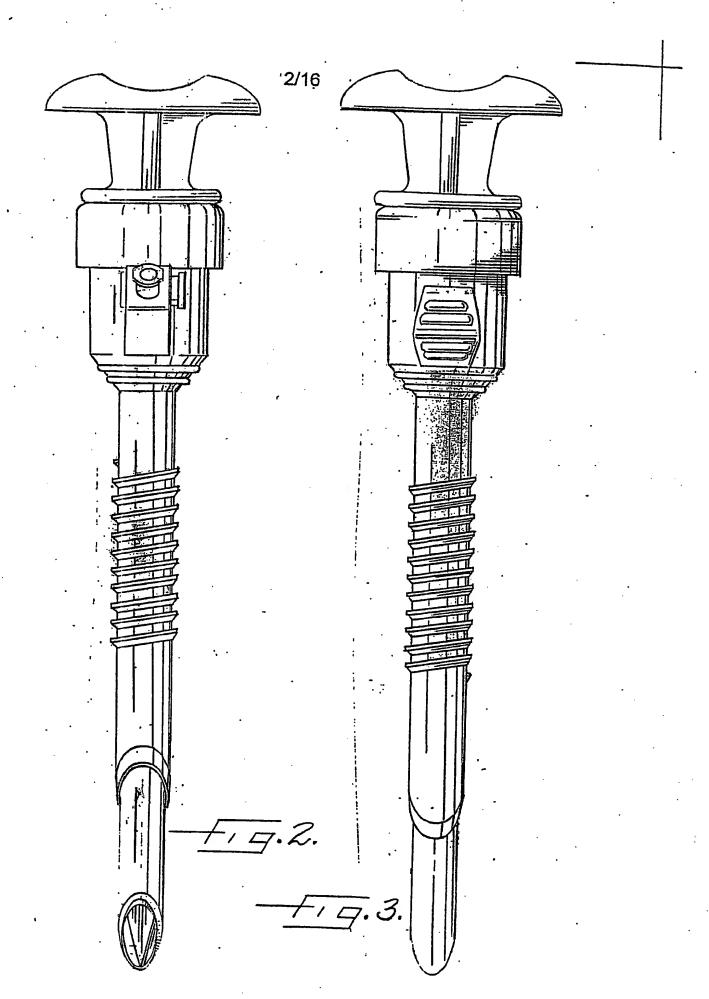
Figure 40 is a right side elevational view of FIG. 35, the left side being a mirror image thereof.

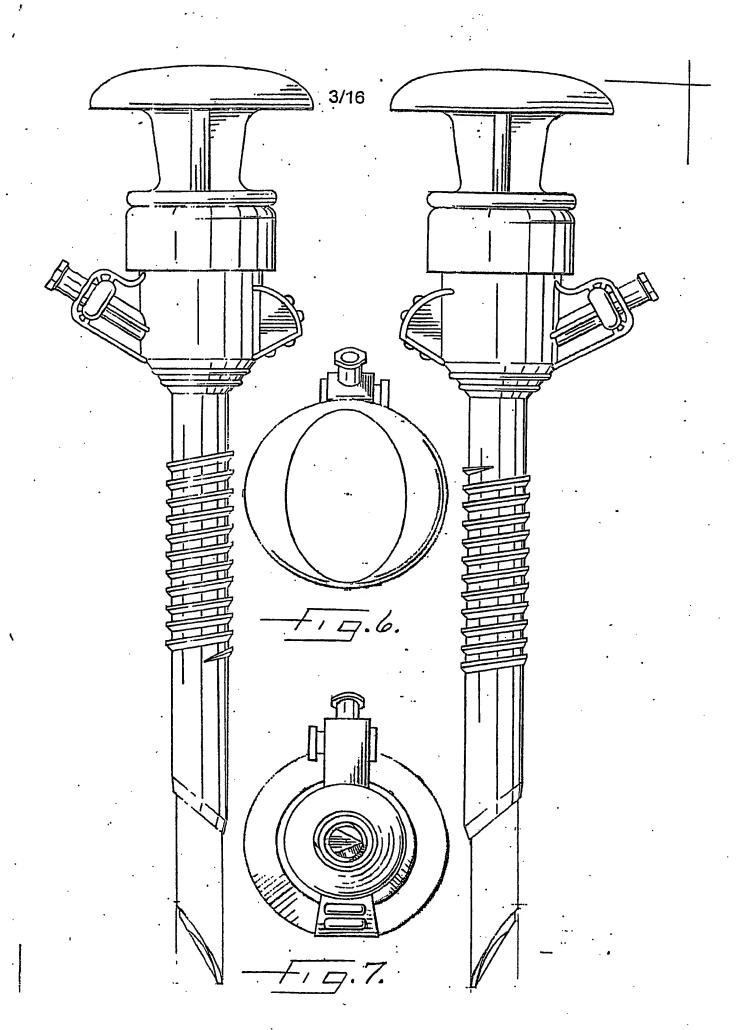
The broken lines are for illustrative purposes only and do not form part of the claimed design.

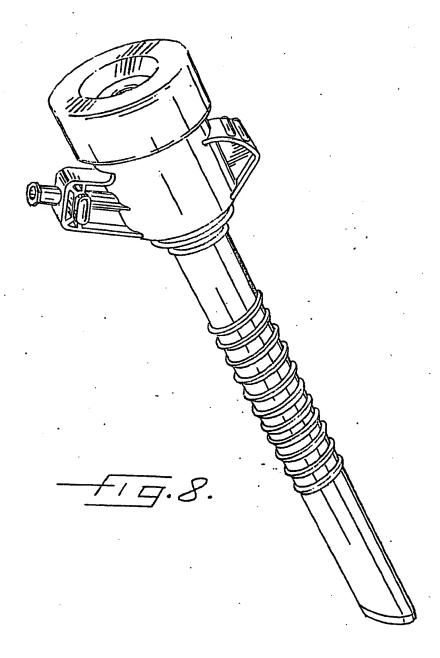
## WE CLAIM:

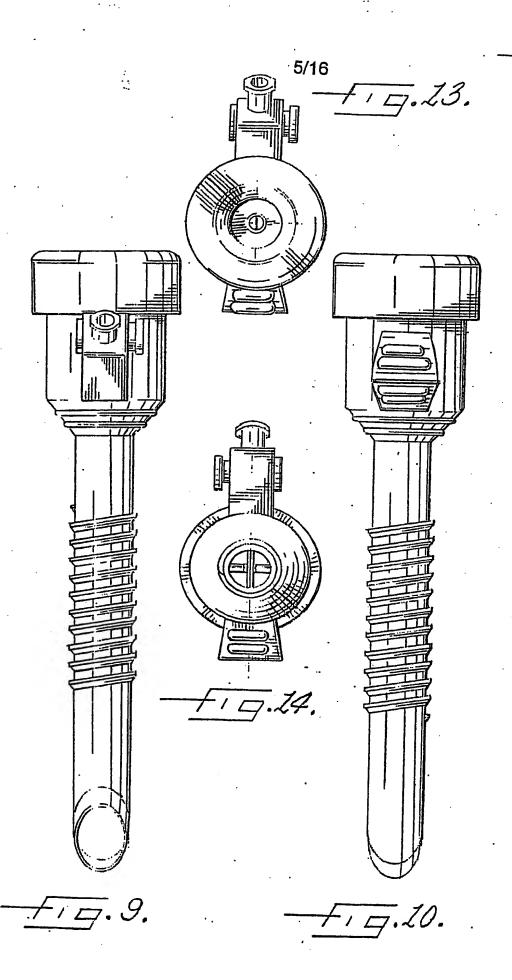
The ornamental design for an OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION as shown and described.



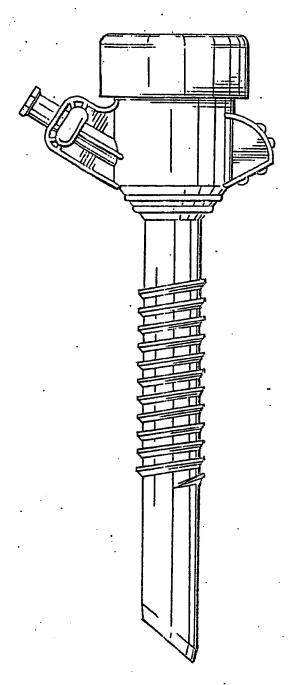




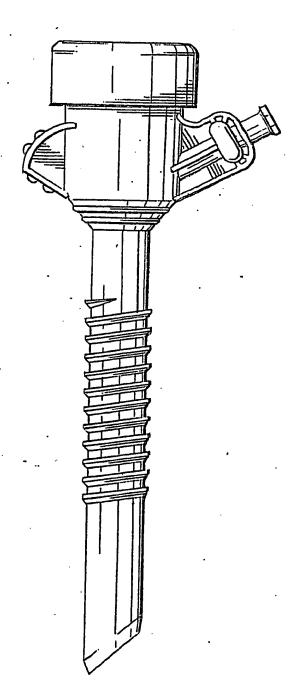


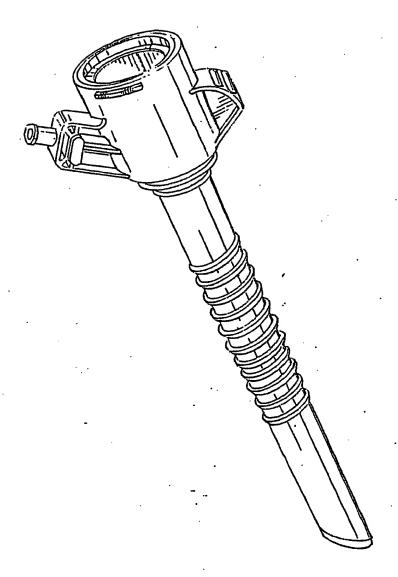


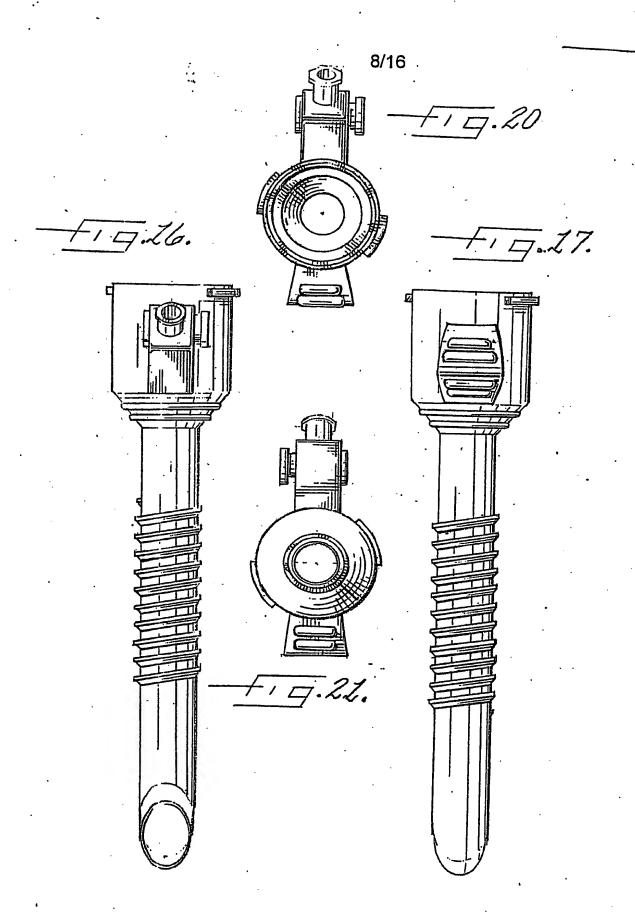


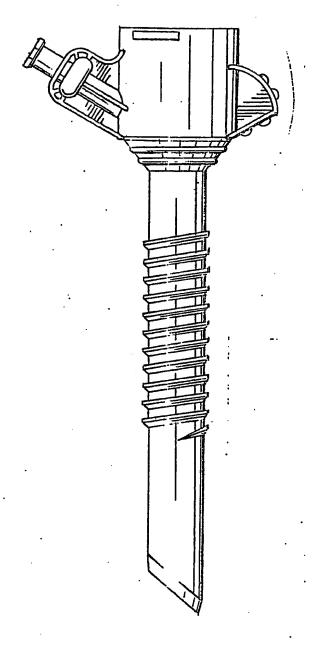




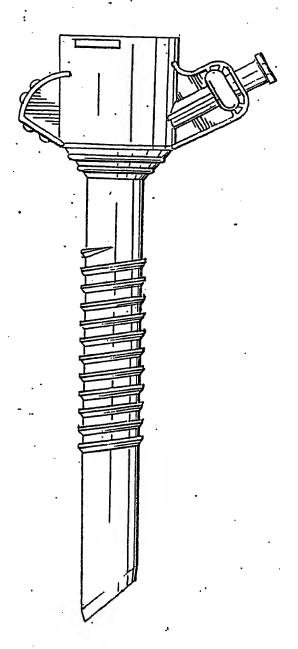




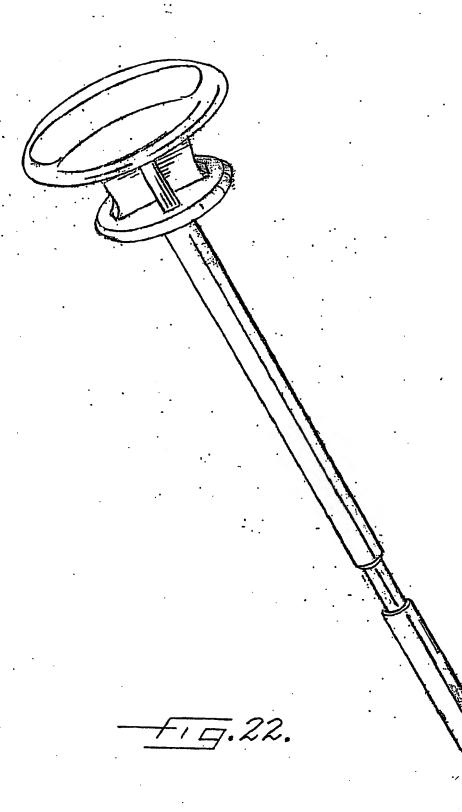


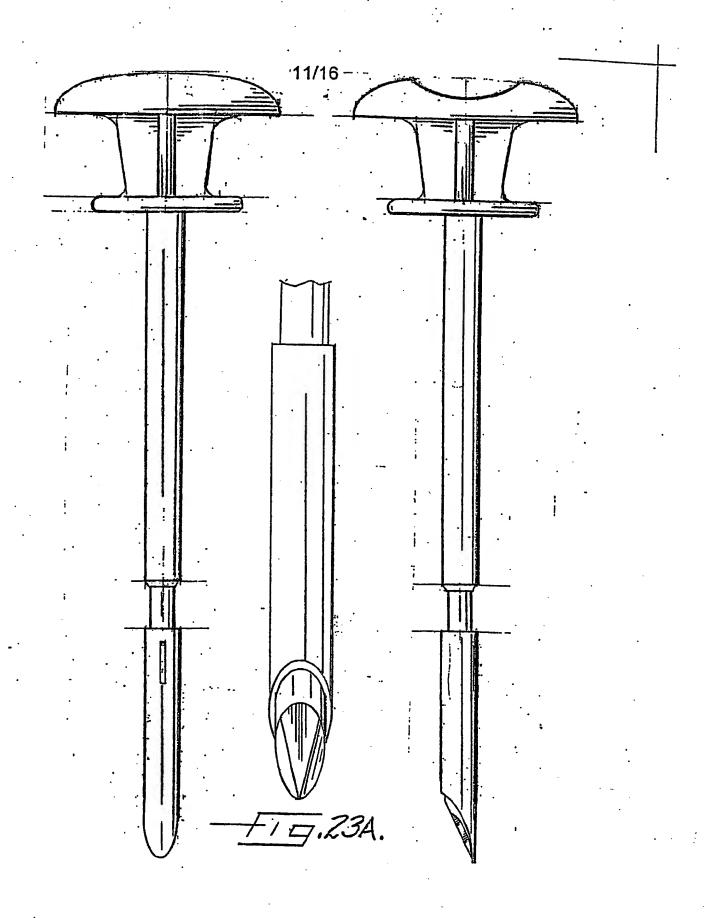




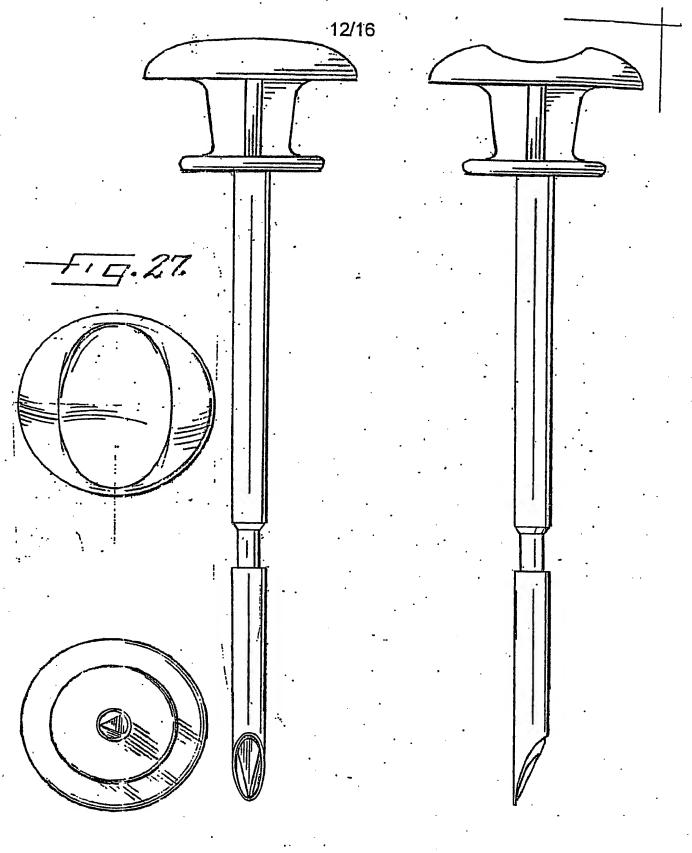


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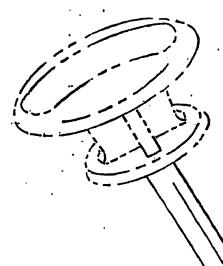




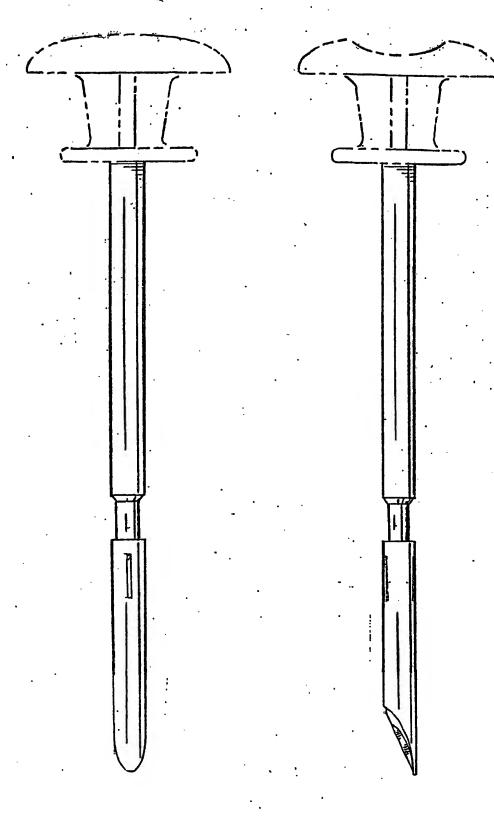
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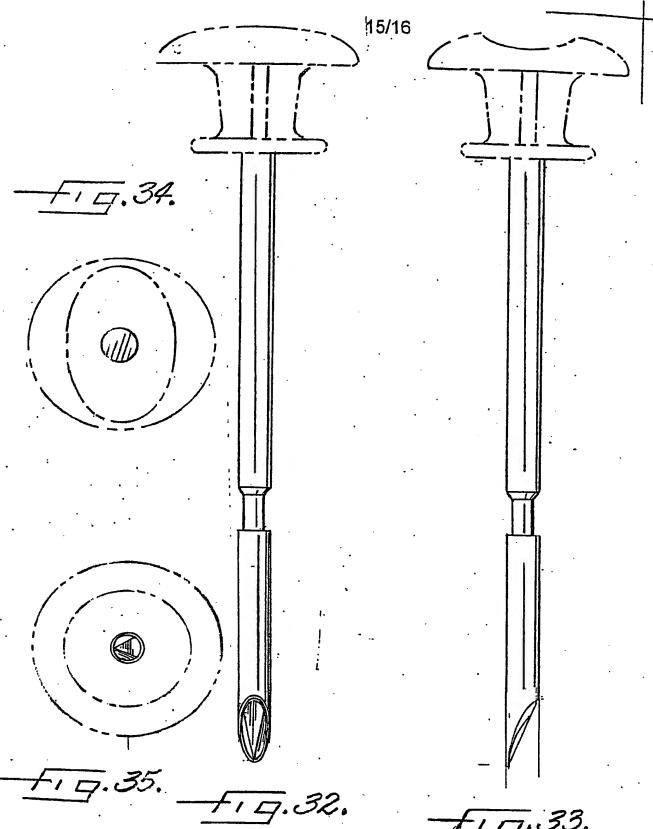
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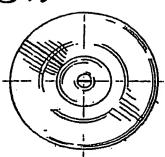
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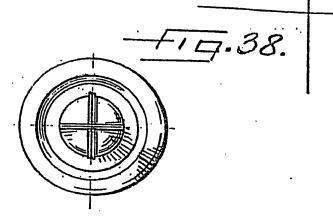
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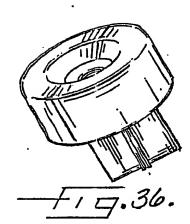
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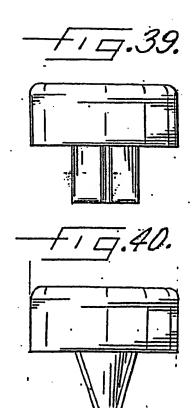
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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No.: 99-P-22061

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION, the specification of which:

(check one)

is attached hereto	*
X was filed on January 26, 2000	
as Application Serial No. 29/117.520	
and was amended on	
(if applicable)	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulation, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the of the application on which priority is claimed:

## Prior Foreign Application(s) Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	[ ] Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	[   ] Yes	[ ]
(Number)	(Country)	(Day/Month/Year Filed)	[ ] Yes	[ ]

I hereby claim the benefit under Title 35; United States Code, 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Appln Serial No.) (Filing Date) (patented, pending, aban.)	(Status)
(Appln Serial No.) (Filing Date) (patented, pending, aban.)	(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### English Language Declaration

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Jeffrey S. Whittle, Reg. No. 36,382; Christopher F. Regan, Reg. No. 34,906; Herbert L. Allen, Reg. No. 25,322; David L. Sigalow, Reg. No. 36,006; Richard K. Warther, Reg. No. 32,180; Michael W. Taylor, Reg. No. 43,182; Henry Estevez, Reg. No. 37,823; Paul J. Ditmyer, Reg. No. 40,455; Carl M. Napolitano, Reg. No. 37,405; and Jacqueline E. Hartt, Reg. No. 37,845.

Send Correspondence to:

JEFFREY S. WHITTLE, ESQUIRE ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST, P.A. P.O. Box 3791 Orlando, Florida 32802-3791

Direct Telephone Calls to:

<u>Jeffrey S. Whittle</u> (407) 841-2330

Full name of (first) inventon: Gary Haberland

Inventor's Signature:

Residence: Orlando, Florida

Citizenship: Citizen of United States

Post Office Address:

573 Waterscape Way Orlando, FL 32828

Full name of second inventor: Sam R. Marchand

Inventor's
Signature:\_

Date: 3/2/00

Residence: Dunedin, Florida

Citizenship: Citizen of United States

Post Office Address: 1170 Robmar Rd. Dunedin, FL 34698



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 05, 2000

PTAS

ALLEN, DYER, DOPPELT, ET AL. JEFFREY S. WHITTLE, ESQ. P.O. BOX 3791 255 SOUTH ORANGE AVE. ORLANDO, FLORIDA 32802-3791



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/22/2000

REEL/FRAME: 010673/0414

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HABERLAND, GARY W.

DOC DATE: 03/02/2000

ASSIGNOR:

MARCHAND, SAM R.

DOC DATE: 03/02/2000

ASSIGNEE:

GENICON, LC 573 WATERSCAPE WAY ORLANDO, FLORIDA 32828

SERIAL NUMBER: 29117520

PATENT NUMBER:

FILING DATE: 01/26/2000

ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

	FORM COVER SHEET ENTS ONLY	Patent & Trademark Offic
the Honorable Commissioner of Patents and Trademarks: Plea	se record the attached original docu	
. Name of conveying party(ies): ary W. Haberland	2. Name and address of rec Name: <u>GENICON, LC</u> Address: <u>573 Waterscape</u> Orlando, Flori	eiving party(ies): Way
ditional name(s) of conveying party(ies) attached ( )Yes (X	•	
Nature of Conveyance:  (X) Assignment  (X) Assignment  (X) Assignment	( ) Merger ( ) Change of Name	04-12-2000 101316861
<ol> <li>Application number(s) or patent number(s):         If this document is being filed together with a new applic         A. Patent application No.(s)         29/117,520     </li> </ol>	B. Patent No.(s)	oplication is:
Additional number:	s attached? ( )Yes (x)No	
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of a and patents invol	pplications ved: [ 1 ]
Name:Jeffrey S. Whittle, Esq.	_ 7. Total fee (37 CFR	3.41)\$_40.00_
Internal Address: Allen, Dver, Doppelt,	[X] Enclosed	
Milbrath & Gilchrist, P.A Street Address: P.O. Box 3791	. [] Authorized to be deposit account	charged to
255 South Orange Ave.	_ 8. Deposit Account N	umber:
City: Orlando	01-0484	
State: <u>Florida</u> Zip:32802-3° /31/2000 PDELDATC 00000059 29117520 FC:581 (40.00 OP) DO NOT U	required,	extension and/or fee i
Statement and signature. To the best of my knowledge and beliforrect and any attached copy is a tracefrey S. Whittle ame of Person Signing  otal number of pages including cover	fue copy of the prigin	March 22, 2000 Date



#### ASSIGNMENT

WHEREAS, GARY HABERLAND of Orlando, Florida; and SAM R. MARCHAND of Dunedin, Florida; hereinafter referred to as ASSIGNORS, have made certain improvements or inventions in OBTURATOR, VALVE ASSEMBLY, AND CANNULA COMBINATION, for which ASSIGNORS have executed an application for United States Letters Patent filed in the United States Patent and Trademark Office on January 26, 2000 as U.S. Serial No. 29/117,520; and

WHEREAS, Genicon LC, a Florida limited liability corporation, hereinafter referred to as ASSIGNEE, is desirous of acquiring the same;

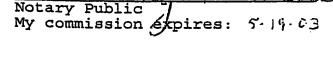
NOW THEREFORE, This Indenture Witnesseth: That for good and valuable consideration, receipt whereof is hereby acknowledged, said ASSIGNORS do hereby sell, assign and transfer to said ASSIGNEE, its successors and assigns, all right, title and interest in and to said United States Patent application, the invention or inventions therein shown and described and any improvements on said inventions heretofore or hereafter made, any divisions or continuations of said application, and all patents, United States and foreign, to be granted upon any such application or for the invention or inventions thereof, and any reissues, continuations or extensions of said patents; and said ASSIGNORS do hereby authorize and request the Commissioner of Patents to issue all patents on said United States Patent applications or for the invention or inventions hereof, in accordance with this assignment.

And said ASSIGNORS for said consideration hereby covenants and agrees that said ASSIGNORS are the owners of the full title herein conveyed and has the right to convey the same, and agrees that said ASSIGNORS will communicate to said ASSIGNEE

any facts known to said ASSIGNORS respecting said invention or inventions, and testify in any legal proceedings when called upon by said ASSIGNEE, sign all lawful papers deemed by said ASSIGNEE as expedient to vest in it the legal title herein sought to be conveyed or for the filing and prosecution of all applications and patents, United States and foreign, and otherwise aid said ASSIGNEE, its successors and assigns, in obtaining full patent protection on said invention and enforcing proper protection under said patents, but in every instance at the ASSIGNEE'S expense.

and seal thi	in withess whe	Gary Haberland	
State of Flo	: ss.		

SEAL



and seal this day of January, 2000.
2 R Ward
Sam R. Marchand
State of Florida :
County of Pinellas:
on this day of January, 2000, before me
personally appeared the above-named ASSIGNOR, SAM R. MARCHAND, to
me personally known/provided identification
() as the individual who executed
the foregoing assignment, and who acknowledged to me that he/she
executed the same of his/her own free will for the purposes
therein set forth.
Kenner Coul
S E A L Notary Public My commission expires:
commended expires.
KENNETH RONECKER  MY COMMISSION # CC 552868  EXPIRES: May 5, 2000  Bonded Thru Notary Public Underwritera

# EXHIBIT B

HERBERT L. ALLEN
ROBERT DYER
AVA K. DOPPELT
STEPHEN D. MILBERATH
BRIAN R. GILCHRIST
CHRISTOPHER F. REGAN
JEFFREY S. WHITTLE
DAVID L. SIGALOW
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MICHAEL W. TAYLOR
ENRIQUE G. ESTÉVEZ, PH.D.
PAUL J. DITMYER
JOHN F. WOODSON, II\*
TREVOR D. ARNOLD
RICHARD A, HINSON

OF COUNSEL: CHARLES E, WANDS

PATENT AGENTS: CARL M. NAPOLITANO, PH.D. IACQUELINE E. HARTT, PH.D. MARK R. MALEK

"NOT ADMITTED IN FLORIDA

## ALLEN, DYER, DOPPELT MILBRATH & GILCHRIST, P.A.

#### ATTORNEYS AT LAW

1401 CITRUS CENTER
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POST OFFICE BOX 3791
ORLANDO, FLORIDA 32802-3791

TELEPHONE 407-841-2330 FAX 407-841-2343 EMAIL jwhittle@addmg.com

August 22, 2000

Intellectual Property: Patents, Trademarks & Copyrights

> Securitles Arbitration & Litigation

Antitrust & Trade Regulation

Business Litigation

MELBOURNE OFFICE: 5240 BABCOCK STREET, NE SUITE 21 1 PALM BAY, FL 32905 407-725-4760/3741 407-984-7078 FAX

Mr. Gary Haberland GeniCon, LC 573 Waterscape Way Orlando, FL 32828

Re:

Draft Patent Application for:

Trocar System Having Shielded Trocar

Our File No.: 24100

Dear Gary:

Enclosed for your records, please find a draft of the patent application as filed for the above-referenced invention.

Also enclosed, please find the Declaration and Power of Attorney, Verified Statement Claiming Small Entity Status, and Assignment documents for your review and execution. We ask that you please return the executed documents to our office at your earliest convenience for filing with the U.S. Patent and Trademark Office.

If you should have any questions regarding the enclosed documents, please feel free to give us a call.

Best regards.

JSW:klt Enclosures

cc: Mr. Steve Nilson (w/enclosures)

Mr. Sean Hagen (w/enclosures)

Mr. Sam Marchand (w/enclosures)

Mr. Bennie Gladdish (w/enclosures)

Sincerely yours,

effey White

# Exhibit C



JAN 1 6 2001 A.D.D.M.&G

January 11, 2001

Sam R. Marchand 1170 Robmar Road Dunedin Florida 34698

Sent Via UPS: 1ZW3X0332940050253

Dear Sam

Enclosed, please find (4) Patent Applications which require your notarized signature and return as soon as practical to:

Allen Dyer Doppelt Gilchrist & Milbrath Atten: Jeffery Whittle Esq. Suite #1401 255 South Orange Avenue Orlando, Florida 32802 (407) 841-2330 Phone

If you have any questions relating to these documents, please direct them to Jeff Whittle as he would be best positioned to answer them to your satisfaction.

Regard

Gary Haberland

Member Manager

Cc:

Jeffery Whittle Esq.

SHIP TO:

SAM MARCHAND 1170 ROBMAR ROAD DUNEDIN FL 34698



UPS NEXT DAY AIR SAVER 1P



SILLING: P/P SIGNATURE REQUIRED

unu 2.5.27 UPS Thereal 2442 1925

# **EXHIBIT D**

August 7, 2001

Mr. Jeff Whittle 1401 Citrus Center 255 South Orange Avenue Orlando, FL 32802

Mr. Whittle.

I have received a request from your office for my signature on a continuation for a patent application involving Gary Haberland / Genicon. My partner, Randy Ross, pointed out that Genicon still has a balance due in regards to development work carried out by Coalesce. I would be glad to execute these documents, but I do feel that some type of agreement should be reached in regards to the development work carried out. If you have any suggestions as to how we could reach an agreement in regards to this matter I would be glad to discuss them with you.

Sincerely,

Sam Marchand Coalesce, Inc.

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